Reply to Office Action of May 25, 2010 Amendment dated August 11, 2010

AMENDMENTS TO THE CLAIMS

1. (Currently Amended) A liquid pharmaceutical formulation for the prolonged release of active principle(s) (AP), said formulation comprising an aqueous colloidal suspension of low viscosity based on submicronic particles of water-soluble biodegradable polymer [PO] carrying hydrophobic groups [HG] said submicronic particles being non-covalently associated with at least one active principle (AP), wherein:

[[a]] the dispersion medium of the suspension comprises water;

the concentration of [PO] is such that $[PO] \ge 0.9.C1$, where C1 is the "induced gelling" concentration of the particles of PO, as measured in an IG test,

said formulation forms a gelled deposit *in vivo* when injected parenterally and; wherein said formulation is at least partly caused by at least one physiological protein present *in vivo*;

making it possible to prolong [[s]] and control [[s]] the *in vivo* release time of the AP beyond 24 h after administration;

said formulation is liquid under the injection conditions;

and <u>said formulation</u> is liquid at the physiological temperature and at the physiological pH and in the presence of:

a physiological electrolyte in a physiological concentration, or at least one surfactant.

- 2. (Canceled)
- 3. (Previously Presented) A liquid pharmaceutical formulation for the prolonged release of active principle(s) (AP), this formulation:
 - a) being liquid in the ambient atmosphere;
- b) being liquid at the physiological temperature and at the physiological pH and in the presence of:

a physiological electrolyte in a physiological concentration, or at least one surfactant;

c) and comprising an aqueous colloidal suspension of low viscosity based on submicronic particles of water-soluble biodegradable polymer [PO] carrying hydrophobic Application No.: 10/580,023 Docket No.: 022290.0159PTUS Reply to Office Action of May 25, 2010

Amendment dated August 11, 2010

groups [HG], said particles being non-covalently associated with at least one active principle AP, and the dispersion medium of the suspension comprises water,

its concentration of [PO] is set at a value that allows the formation of a gelled deposit *in vitro*, in the presence of at least one protein.

4. (Currently Amended) The formulation according to claim 1, wherein the concentration of [PO] is: $20.C1 \ge$ [PO] \ge [[0.9.]] C1,

where C1 is the "induced gelling" concentration of the particles of PO, as measured in an IG test.

- 5. (Previously Presented) The formulation according to claim 1 wherein said formulation has a viscosity less than or equal to 5 Pa.s.
- 6. (Currently Amended) The formulation according to claim 1 wherein the [PO] is a polyamino acid formed of aspartic units <u>residues</u>, glutamic units <u>residues</u>, or both aspartic and glutamic units <u>residues</u>, at least one of said units <u>residues</u>, carrying grafts containing at least one hydrophobic group [HG].
- 7. (Currently Amended) The formulation according to claim 6, the [PO] is defined by general formula (I) below:

$$R^{2} \xrightarrow{H} 0 \xrightarrow{N} 1$$

$$R^{2} \xrightarrow{N} A \xrightarrow{N} 0 \xrightarrow{N} M R^{1}$$

$$R^{3} \xrightarrow{R^{4}} R^{4}$$

in which:

R¹ is selected from the group consisting of: H, a linear C2 to C10 alkyl or branched C3 to C10 alkyl, benzyl, a terminal amino acid unit residue, and -R⁴-[HG];

R² is selected from the group consisting of: H, a linear C2 to C10 acyl or branched C3 to C10 acyl group, a pyroglutamate and -R⁴-[HG];

Application No.: 10/580,023 Docket No.: 022290.0159PTUS Reply to Office Action of May 25, 2010

Reply to Office Action of May 25, 201 Amendment dated August 11, 2010

R³ is selected from the group consisting of: H and a cationic entity selected from the group consisting of:

metal cations selected from the subgroup consisting of sodium, potassium, calcium and magnesium,

organic cations selected from the subgroup consisting of:

cations based on amine,

cations based on oligoamine,

cations based on polyamine, and

cations based on amino acid(s) selected from the class consisting of:

cations based on lysine or arginine,

and cationic polyamino acids advantageously selected from the subgroup comprising polylysine and oligolysine;

R⁴ is a direct bond or a spacer based on 1 to 4 amino acid units residues;

A independently is a radical -CH₂- or -CH₂-CH₂-;

n/(n+m) is defined as the molar grafting rate; and its value is sufficiently low for [PO], dissolved in water at pH 7 and at 25°C, to form a colloidal suspension of submicronic particles of [PO],

n/(n + m) is between 1 and 25 mol%;

n + m varies from 10 to 1000 [[and]]; and

[HG] is a hydrophobic group.

8. (Withdrawn – Currently Amended) The formulation according to claim 6, the [PO] has one of general formulae (II), (III) and (IV) below:

Application No.: 10/580,023 Docket No.: 022290.0159PTUS Reply to Office Action of May 25, 2010

Reply to Office Action of May 25, 2010 Amendment dated August 11, 2010

$$[HG] \xrightarrow{H} \xrightarrow{COOR^3} \xrightarrow{H} \xrightarrow{N} \xrightarrow{n''} R^4 \xrightarrow{[HG]}$$

(IV) in which:

[HG] is a hydrophobic group;

R³⁰ is a linear C2 to C6 alkyl group;

R^{3'} is H or a cationic entity selected from the group comprising:

metal cations selected from the subgroup consisting of sodium, potassium, calcium and magnesium,

organic cations selected from the subgroup consisting of: cations based on amine, cations based on oligoamine, cations based on polyamine, and cations based on amino acid(s) selected from the class comprising cations based on lysine or arginine, and cationic polyamino acids selected from the subgroup comprising polylysine and oligolysine;

R⁵⁰ is a C2 to C6 alkyl, dialkoxy or diamine group;

R⁴ is a direct bond or a "spacer" based on 1 to 4 amino acid units residues;

A independently is a radical -CH₂- or -CH₂-CH₂-;

n' + m' or $[[n']] \underline{n''}$ is defined as the degree of polymerization and varies from 10 to 1000.

9. (Previously Presented) The formulation according to claim 7, the [HG] of the [PO] each independently of one another are a monovalent radical of the formula below:

in which:

R⁵ is a methyl, isopropyl, isobutyl, sec-butyl or benzyl;

R⁶ is a hydrophobic radical containing from 6 to 30 carbon atoms;

1 varies from 0 to 6.

Reply to Office Action of May 25, 2010 Amendment dated August 11, 2010

10. (Currently Amended) The formulation according to claim 9, wherein at least one of the hydrophobic radicals R⁶ of the [PO] is independently selected from the group of radicals consisting of:

a linear or branched alkoxy containing from 6 to 30 carbon atoms;

<u>a linear or branched alkoxy containing from 6 to 30 carbon atoms</u> and containing at least one heteroatom [[and/]] or at least one unit of unsaturation,

an alkoxy containing 6 to 30 carbon atoms, having one or more fused carbocyclic rings;

an alkoxy containing 6 to 30 carbon atoms, having one or more fused carbocyclic rings and containing at least one unit of unsaturation [[and/]] or at least one heteroatom,

an alkoxyaryl or an aryloxyalkyl having 7 to 30 carbon atoms;

an alkoxyaryl or an aryloxyalkyl having 7 to 30 carbon atoms and capable of containing at least one unit of unsaturation [[and/]] or at least one.

- 11. (Previously Presented) The formulation according to claim 9, wherein the hydrophobic radical R⁶ of the graft of the [PO] is derived from an alcohol precursor selected from the group consisting of: octanol, dodecanol, tetradecanol, hexadecanol, octadecanol, oleyl alcohol, tocopherol and cholesterol.
- 12. (Currently Amended) The formulation according to claim 6, the [PO] comprises [[of]] an alpha-L-glutamate or alpha-L-glutamic homopolymer.
- 13. (Currently Amended) The formulation according to claim 6, wherein the [PO] comprises [[of]] an alpha-L-aspartate or alpha-L-aspartic homopolymer.
- 14. (Currently Amended) The formulation according to claim 6, wherein the [PO] comprises [[of]] an alpha-L-aspartate/alpha-L-glutamate or alpha-L-aspartic/alpha-L-glutamic copolymer.

Reply to Office Action of May 25, 2010 Amendment dated August 11, 2010

15. (Currently Amended) The formulation according to claim 14, wherein, in the [PO], the distribution of the aspartic and glutamic [[units]] <u>residues</u> carrying grafts containing at least one [HG] [[unit]] <u>residue</u> is such that the resulting polymer is either random or of the block type or of the multiblock type.

- 16. (Currently Amended) The formulation according to claim 1, wherein the molecular weight of the [PO] is between 2,000 and 100,000 g/mol.
- 17. (Currently Amended) The formulation according to claim 6, wherein the [PO] <u>further</u> carries at least one graft of [[the]] polyalkylene glycol type bonded to a glutamate or an aspartate [[unit]] <u>residue</u>.
- 18. (Currently Amended) The formulation according to claim 17, wherein the graft of [[the]] polyalkylene glycol type has formula (V) below:

$$R'^4 \times R^7$$

in which:

sulfur;

R⁴ is a direct bond or a "spacer" based on 1 to 4 amino acid [[units]] <u>residues</u>; X is a heteroatom selected from the group consisting of: oxygen, nitrogen and

R⁷ and R⁸ independently are H or a linear C1 to C4 alkyl; n" varies from 10 to 1000.

- 19. (Previously Presented) The formulation according to claim 17, wherein the polyalkylene glycol is a polyethylene glycol.
- 20. (Previously Presented) The formulation according to claim 17, wherein the molar percentage of polyalkylene glycol grafting varies from 1 to 30%.

Reply to Office Action of May 25, 2010 Amendment dated August 11, 2010

21. (Previously Presented) The formulation according to claim 1 wherein, the

hydrophobically modified [PO] are selected from the group consisting of: polyamino acids,

polysaccharides, gelatins and mixtures thereof.

22. (Previously Presented) The formulation according to claim 1, wherein the AP is

selected from the group consisting of: a protein, a glycoprotein, a protein bonded to one or more

polyalkylene glycol chains, a polysaccharide, a liposaccharide, an oligonucleotide, a

polynucleotide and a peptide.

23. (Previously Presented) The formulation according to claim 1, wherein the AP is

a "small" hydrophobic, hydrophilic or amphiphilic organic molecule.

24. (Previously Presented) The formulation according to claim 1, wherein the weight

fraction of AP not associated with the submicronic particles [non-associated AP], in weight %, is

such that: $[non-associated AP] \le 1$.

25. (Previously Presented) The formulation according to claim 1 wherein the

formulation is injectable by the parenteral, subcutaneous, intramuscular, intradermal,

intraperitoneal or intracerebral route or into a tumour.

26. (Previously Presented) The formulation according to claim 1 wherein the

formulation is used to prepare drugs for administration by the parenteral, subcutaneous,

intramuscular, intradermal, intraperitoneal or intracerebral route or into a tumour, or by the oral,

nasal, vaginal or ocular route.

27. (Withdrawn) Process for the preparation of drugs, particularly for administration

by the parenteral, subcutaneous, intramuscular, intradermal, intraperitoneal or intracerebral route

or into a tumour, or by the oral, nasal, vaginal or ocular route comprising at least one

formulation according to claim 1.

8

Reply to Office Action of May 25, 2010 Amendment dated August 11, 2010

28. (Previously Presented) A derived product comprising submicronic particles formed of non-covalent PO/AP associations as defined in claim 1, and obtained from the formulation according to claim 1.

- 29. (Previously Presented) The derived product according to claim 28, said product is in a powder or a gel form.
- 30. (Withdrawn) A process for the preparation of the formulation of claim 1, said process comprising the steps of:

taking a colloidal suspension of nanoparticles of at least one PO,

mixing this colloidal suspension of nanoparticles of PO with at least one AP, in aqueous solution, and

adjusting the pH and/or the osmolarity if necessary.

- 31. (Withdrawn) A process according to claim 30, wherein the at least one AP is in the form of an aqueous suspension or solution for mixing with the colloidal suspension of nanoparticles of PO.
- 32. (Withdrawn) A process for the preparation of the formulation of claim 1, said process comprising the steps of:

taking a powder of nanoparticles of at least one PO,

mixing this powder with an aqueous suspension or solution of at least one AP, in aqueous solution, and

adjusting the pH and/or the osmolarity if necessary.

33. (Withdrawn) A process for the preparation of the formulation of claim 1, said process comprising the steps of:

taking a powder produced by drying the liquid formulation according to claim 1, mixing this powder with an aqueous liquid medium, and adjusting the pH and/or the osmolarity if necessary.

Reply to Office Action of May 25, 2010 Amendment dated August 11, 2010

34. (Withdrawn) A process for the preparation of a powder derived from the formulation of claim 1, wherein said powder is obtained by drying the formulation of claim 1.

- 35. (New) The formulation according to claim 1, wherein the concentration of [PO] is: $10.C1 \ge [PO] \ge C1$.
- 36. (New) The formulation according to claim 1, wherein the molecular weight of the [PO] is between 5,000 and 40,000 g/mol.